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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Masamoto TAGO, et al.

Appln. No. 10/043,225

Confirmation No.: 4956

Filed: January 14, 2002



Group Art Unit: 2812

Examiner: Not assigned

For: SEMICONDUCTOR DEVICE, MANUFACTURING METHOD AND APPARATUS  
FOR THE SAME

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby  
notifies the U.S. Patent and Trademark Office of the document which is listed on the attached

\* Form PTO-1449 and/or listed herein and which the Examiner may deem material to patentability  
of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. 9-36120, published  
February 7, 1997.

One copy of the listed document is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three  
months from the application's filing date for an application other than a continued prosecution  
application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the  
merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a

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INFORMATION DISCLOSURE STATEMENT

request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits states that the above reference is discussed within the specification beginning at page 4, line 7.

The submission of the listed document is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted,



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Date: March 6, 2002

\* IDS - Reviewed by the examiner on 07-08-2003.  
Nitin Parekh